



PATENT  
Attorney Docket No. ASC-022CPCI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Wu *et al.*

SERIAL NO.: 10/603/852 GROUP NO.: 2811

FILING DATE: June 25, 2003 EXAMINER: Douglas W. Owens

TITLE: ETCH STOP LAYER SYSTEM

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the non-patent publications are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

(1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or

(2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and

the requisite Statement is below, **OR**

the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

07/22/2005 ZJUHAR1 000005110603852  
01 FC:1806

(3) after the mailing date of a **final action or notice of allowance** but before the payment of the **issue fee**, **AND**

the requisite Statement is below, **AND**

the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

#### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or

2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Date: July 20, 2005  
Reg. No. 44,381

Tel. No.: (617) 570-1806  
Fax No.: (617) 523-1231

Natasha C. Us  
Natasha C. Us  
Attorney for Applicant(s)  
Goodwin Procter LLP  
Exchange Place  
Boston, Massachusetts 02109



SHEET 1 OF 2

FORM PTO - 1449

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: ASC-022CPC1

APPLICANT(S): Wu et al.

SERIAL NO.: 10/603,852

FILING DATE: June 25, 2003

GROUP: 2811

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A249	2002/140031 A1	10/03/2002	Rim			
	A250	2003/003679 A	01/02/2003	Doyle et al.			
	A251	5,013,681	05/07/1991	Godbey et al.			
	A252	5,548,128	08/20/1996	Soref et al.			
	A253	5,607,876	03/04/1997	Biegelsen et al.			
	A254	5,906,951	05/25/1999	Chu et al.			
	A255	6,154,475	11/28/2000	Soref et al.			
	A256	6,372,593 B1	04/16/2002	Hattori et al.			
	A257	6,489,639	12/03/2002	Hoke et al.			
	A258	6,521,041	02/18/2003	Wu et al.			
	A259	6,591,321	07/08/2003	Arimilli et al.			11/09/1999
	A260	6,597,016	07/22/2003	Yuki et al.			01/13/2000
	A261	6,674,150	01/06/2004	Takagi et al.			04/03/2002
	A262	6,689,211	02/10/2004	Wu et al.			06/22/2000

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B53	02-098158	04/10/1990	JP				Y	Y
	B54	06-196673	07/15/1994	JP				Y	Y

EXAMINER

DATE CONSIDERED



<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------